

Department of Homeland Security Office for Civil Rights and Civil Liberties

Semiannual Report to Congress

First and Second Quarters, FY 2019 (October 1, 2018 – March 31, 2019)

November 6, 2019



Foreword



I am pleased to present this Semiannual Report on the activities of the Department of Homeland Security (DHS) Office for Civil Rights and Civil Liberties (CRCL), as required by Section 803 of the *Implementing Recommendations of the 9/11 Commission Act of 2007*, 42 U.S.C. § 2000ee-1(f).

This Report includes information on the first and second quarters of Fiscal Year (FY) 2019. The DHS Chief Privacy Officer provides separate quarterly reports under the 9/11 Commission Act concerning privacy advice and complaints, available at the Privacy Office's web site, http://www.dhs.gov/privacy.

Pursuant to congressional requirements, this Report is being provided to the following Members of Congress:

The Honorable Michael R. Pence

President of the Senate

The Honorable Richard Shelby

Chairman, U.S. Senate Committee on Appropriations

The Honorable Patrick Leahy

Vice Chairman, U.S. Senate Committee on Appropriations

The Honorable Ron Johnson

Chairman, U.S. Senate Committee on Homeland Security and Governmental Affairs

The Honorable Gary C. Peters

Ranking Member, U.S. Senate Committee on Homeland Security and Governmental Affairs

The Honorable Richard Burr

Chairman, U.S. Senate Select Committee on Intelligence

The Honorable Mark Warner

Vice Chairman, U.S. Senate Select Committee on Intelligence

The Honorable Lindsey Graham

Chairman, U.S. Senate Committee on the Judiciary

The Honorable Dianne Feinstein

Ranking Member, U.S. Senate Committee on the Judiciary

The Honorable Nancy Pelosi

Speaker of the House, U.S. House of Representatives

The Honorable Nita M. Lowey

Chairman, U.S. House of Representatives Committee on Appropriations

The Honorable Kay Granger

Ranking Member, U.S. House of Representatives Committee on Appropriations

The Honorable Bennie G. Thompson

Chairman, U.S. House of Representatives Committee on Homeland Security

The Honorable Mike Rogers

Ranking Member, U.S. House of Representatives Committee on Homeland Security

The Honorable Adam Schiff

Chairman, U.S. House of Representatives Permanent Select Committee on Intelligence

The Honorable Devin Nunes

Ranking Member, U.S. House of Representatives Permanent Select Committee on Intelligence

The Honorable Jerrold Nadler

Chairman, U.S. House of Representatives Committee on the Judiciary

The Honorable Doug Collins

Ranking Member, U.S. House of Representatives Committee on the Judiciary

The Honorable Carolyn B. Maloney

Acting Chairwoman, U.S. House of Representatives Committee on Oversight and Reform

The Honorable Jim Jordan

Ranking Member, U.S. House of Representatives Committee on Oversight and Reform

Additional information, including our prior semiannual and annual reports and our civil rights complaint contact information, is available at: www.dhs.gov/crcl. Please direct inquiries regarding this Report to the Office for Civil Rights and Civil Liberties at 866-644-8360 (TTY 866-644-8361) or crcl@dhs.gov.

Yours very truly,

Cameron Quinn

Officer for Civil Rights and Civil Liberties

U.S. Department of Homeland Security

Executive Summary

This Semiannual Report details CRCL's complaints activities, as required by section 803 of the *Implementing Recommendations of the 9/11 Commission Act of 2007*, 42 U.S.C. § 2000ee-1(f). This Report includes information on CRCL's investigative work during the first and second quarters of FY 2019.

The majority of complaint investigations opened during this time period focused on allegations made against U.S. Immigration and Customs Enforcement (ICE). A significant portion of complaints received concerned ICE's alleged inadequate medical and/or mental health care for detainees in ICE custody (outlined in the table below). CRCL contracts with subject matter experts in areas including general corrections, medical and mental health, suicide prevention, environmental health and safety, and law enforcement practices to evaluate these claims and make recommendations.

Table 1: ICE Investigations Opened

Component	Issue	Situation	Total	
ICE	Conditions of detention	Immigration detention	35	
ICE	Medical/mental health care	Immigration detention	188	



DHS Office for Civil Rights and Civil Liberties FY 2019 First and Second Quarter Report

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I. Legislative Language

42 U.S.C. § 2000ee-1 Privacy and Civil Liberties Officers

Implementing Recommendations of the 9/11 Commission Act of 2007, Pub. L. No. 110-53, sec. 803, 121 Stat. 266, 360-362, as amended by the Intelligence Authorization Act for Fiscal Year 2014, Pub. L. No. 113-126, title III, § 329(b)(4), 128 Stat. 1390, 1406.

(a) Designation and functions

. . .

[T]he Secretary of Homeland Security . . . shall designate not less than 1 senior officer to serve as the principal advisor to—

- (1) assist the head of such department, agency, or element and other officials of such department, agency, or element in appropriately considering privacy and civil liberties concerns when such officials are proposing, developing, or implementing laws, regulations, policies, procedures, or guidelines related to efforts to protect the Nation against terrorism;
- (2) periodically investigate and review department, agency, or element actions, policies, procedures, guidelines, and related laws and their implementation to ensure that such department, agency, or element is adequately considering privacy and civil liberties in its actions;
- (3) ensure that such department, agency, or element has adequate procedures to receive, investigate, respond to, and redress complaints from individuals who allege such department, agency, or element has violated their privacy or civil liberties; and
- (4) in providing advice on proposals to retain or enhance a particular governmental power the officer shall consider whether such department, agency, or element has established—
 - (A) that the need for the power is balanced with the need to protect privacy and civil liberties;
 - **(B)** that there is adequate supervision of the use by such department, agency, or element of the power to ensure protection of privacy and civil liberties; and
 - **(C)** that there are adequate guidelines and oversight to properly confine its use.
- **(b)** Exception to designation authority

. . .

(2) Civil liberties officers

In any department, agency, or element referred to in subsection (a) of this section . . . which has a statutorily created civil liberties officer, such officer shall perform the functions specified in subsection (a) of this section with respect to civil liberties.

(c) Supervision and coordination

Each privacy officer and civil liberties officer described in subsection (a) or (b) of this section shall—

- (1) report to the head of the department . . . ; and
- (2) Coordinate their activities with the Inspector General of such department . . . to avoid duplication of effort.

(d) Agency cooperation

The head of each department, agency, or element shall ensure that each privacy officer and civil liberties officer—

- (1) has the information, material, and resources necessary to fulfill the functions of such officer;
- (2) is advised of proposed policy changes;
- (3) is consulted by decision makers; and
- (4) is given access to material and personnel the officer determines to be necessary to carry out the functions of such officer.

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(f) Periodic reports

(1) In general

The privacy officers and civil liberties officers of each department, agency, or element referred to or described in subsection (a) or (b) of this section shall periodically, but not less than semiannually, submit a report on the activities of such officers—

- (A)(i) to the appropriate committees of Congress, including the Committee on the Judiciary of the Senate, the Committee on the Judiciary of the House of Representatives, the Committee on Homeland Security and Governmental Affairs of the Senate, the Committee on Oversight and Government Reform of the House of Representatives, the Select Committee on Intelligence of the Senate, and the Permanent Select Committee on Intelligence of the House of Representatives;
- (ii) to the head of such department, agency, or element; and
- (iii) to the Privacy and Civil Liberties Oversight Board; and
- **(B)** which shall be in unclassified form to the greatest extent possible, with a classified annex where necessary.

(2) Contents

Each report submitted under paragraph (1) shall include information on the discharge of each of the functions of the officer concerned, including—

- (A) information on the number and types of reviews undertaken;
- **(B)** the type of advice provided and the response given to such advice;

- **(C)** the number and nature of the complaints received by the department, agency, or element concerned for alleged violations; and
- **(D)** a summary of the disposition of such complaints, the reviews and inquiries conducted, and the impact of the activities of such officer.

(g) Informing the public

Each privacy officer and civil liberties officer shall—

- (1) make the reports of such officer, including reports to Congress, available to the public to the greatest extent that is consistent with the protection of classified information and applicable law; and
- (2) otherwise inform the public of the activities of such officer, as appropriate and in a manner consistent with the protection of classified information and applicable law.

(h) Savings clause

Nothing in this section shall be construed to limit or otherwise supplant any other authorities or responsibilities provided by law to privacy officers or civil liberties officers.

II. Civil Rights and Civil Liberties Impact Assessments

As part of its statutory requirement, the Office for Civil Rights and Civil Liberties (CRCL) conducts in-depth examinations of the civil rights and civil liberties implications and effects of selected Department of Homeland Security (DHS) programs, and provides suggestions for improvements to those programs. There was no activity concerning impact assessments in the first and second quarters.

III. Investigations Data and Analysis

CRCL investigates complaints concerning:

- abuses of civil rights, civil liberties, and racial, ethnic, or religious profiling, 6 U.S.C. § 345(a)(1);
- compliance with constitutional, statutory, regulatory, policy, and other requirements relating to the civil rights or civil liberties of individuals affected by the programs and activities of the Department, 6 U.S.C. § 345(a)(4);
- possible abuses of civil rights or civil liberties, unless the DHS Office of the Inspector General determines that any such complaint or information should be investigated by the Inspector General, 6 U.S.C. § 345(a)(6); and
- department, agency, or element actions, policies, procedures, guidelines, and related laws and their implementation to ensure that such department, agency, or element is adequately considering civil liberties in its actions, 42 U.S.C. § 2000ee-1(a)(2).

Under these authorities, CRCL reviews and assesses civil rights and human rights complaints. These matters arise in a variety of contexts, which we designate in the tables that follow as "situations," and raise one or more issues. The tables set forth here identify investigations by the primary situation and issue involved.

CRCL initiates investigations based on allegations received from the general public and non-governmental organizations by U.S. mail, email, fax, and the CRCL telephone hotline. Incidents that might merit investigation are also forwarded to CRCL from other offices at DHS and other government agencies. **Table 2** indicates investigations opened during the first and second quarters by the primary civil rights *issue* raised, the *situation* in which the investigation arose, and the DHS *Component* that was the primary subject of the investigation.

Pursuant to 6 U.S.C. § 345(a)(6) and internal DHS policies, CRCL begins the investigation process by referring all complaints to the DHS Office of Inspector General (OIG). OIG then determines whether or not it will investigate the complaint. In this report, complaints kept by the OIG are designated *OIG retained*. If OIG declines to investigate the complaint, it is referred back to CRCL for its own investigation.

employees. In those cases, CRCL would notify the Department of Justice, which has jurisdiction to investigate certain violations of civil rights by state and local officers.

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¹ Under the former ICE Secure Communities initiative, and under its successor the Priority Enforcement Program (PEP), complaints involving the activities of state or local law enforcement agencies, acting under state law, that come to CRCL may not initially be referred to OIG if they do not involve allegations regarding the conduct of DHS

Table 3 describes all complaints, including those initiated prior to FY 2017, in process² as of the last day of the quarter. If a complaint is referred to a Component for investigation, the Component issues a Report of Investigation to CRCL, which is reviewed by CRCL. If the case is retained, or short-formed³, CRCL conducts its own investigation.

When any investigation is complete, whether conducted by CRCL or the Component(s) involved, CRCL may close the complaint or provide senior leadership of the relevant Component(s) with any recommendations or advice for improving policies, practices, or training as appropriate. CRCL notifies complainants of the result of investigations, including any actions being taken as a result. **Table 4** provides investigations closed during the first and second quarters, by issue, situation, and Component principally involved.

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² The "in process" categories include multiple cases where Reports of Investigation (ROIs) have been received from Components and are currently under review within CRCL. For CRCL's purposes, these investigations remain in process until we have completed our review and response to the ROIs.

³ CRCL has implemented "short-form" complaint processing procedures to facilitate swift action on urgent complaints and expeditious resolution of allegations that are narrowly focused and require limited investigation. The short-form process makes it easier to open and close complaints, allowing speedier resolution. Cases that subsequently require additional work are converted to standard investigations.

Table 2. Investigations opened, 1Q and 2Q 2019 (as of March 31, 2019)

Total by issue		Component								
Subtotal by situation	СВР	CISA	DHS*	FEMA	ICE	TSA	USCIS	Total		
Abuse of authority/misuse of official position	2				11			13		
DHS law enforcement activity					1			1		
Immigration detention					9			9		
Port of entry/CBP checkpoint	2							2		
Unaccompanied minor					1			1		
Conditions of detention	2		2		33			37		
CBP detention or CBP deferred inspection	1							1		
Immigration detention			2		33			35		
Unaccompanied minor	1							1		
Disability accommodation (Section 504)	6	2		1	2		1	12		
DHS supported activity (not 287(g))	1			1				2		
Federal government building or area		2					1	3		
Immigration detention					2			2		
Port of entry/CBP checkpoint	5							5		
Discrimination/profiling	4		1		3	1	2	11		
DHS law enforcement activity						1		1		
Immigration benefit application processing							2	2		
Immigration detention					3			3		
Port of entry/CBP checkpoint	4		1					5		
Due process	7		6		13			26		
CBP detention or CBP deferred inspection	1		2					3		
DHS law enforcement activity			1					1		
DHS supported activity (not 287(g))					1			1		
Immigration benefit application processing			2					2		
Immigration detention			1		7			8		
Port of entry/CBP checkpoint	2		1		2			5		
Unaccompanied minor	4		1		1			6		
Excessive force or inappropriate use of force	7				3	1		11		
CBP detention or CBP deferred inspection	1							1		
DHS law enforcement activity	1				1	1		3		
Immigration detention					2			2		
Port of entry/CBP checkpoint	5							5		
First Amendment (free speech/association)	1							1		
Port of entry/CBP checkpoint	1							1		
Fourth Amendment (search and seizure)	1	1	1					3		
DHS law enforcement activity	1		1					2		
Federal government building or area		1						1		

^{*}Multi-Component or DHS Headquarters unit

Table 2 continued, Investigations opened 1Q and 2Q 2019 (as of March 31, 2019)

Total by issue				Compo	onent			
Subtotal by situation	CBP	CISA	DHS*	FEMA	ICE	TSA	USCIS	Total
Inappropriate questioning/inspection conditions	2							2
DHS law enforcement activity	1							1
Port of entry/CBP checkpoint	1							1
Inappropriate touch/search of person (non-TSA)	1	1						2
Federal government building or area		1						1
Port of entry/CBP checkpoint	1							1
Intimidation/threat/improper coercion					2			2
Immigration detention					1			1
Unaccompanied minor					1			1
Language access	1							1
Port of entry/CBP checkpoint	1							1
Legal access			1		6			7
DHS supported activity (not 287(g))			1					1
Immigration detention					6			6
Medical/mental health care	5		1		187			193
Immigration detention	1				187			188
Port of entry/CBP checkpoint	4		1					5
Privacy ³			2		4		2	8
DHS law enforcement activity			1		2			3
Immigration benefit application processing							2	2
Immigration detention					2			2
Unaccompanied minor			1					1
Religious accommodation						1		1
DHS supported activity (not 287(g))						1		1
Retaliation					2			2
Immigration detention					2			2
Sexual assault/abuse					9			9
Immigration detention					9			9
Grand Total	39	4	14	1	275	3	5	341

^{*}Multi-Component or DHS Headquarters unit

³ This category involves claims about the unauthorized disclosure of private information and will generally arise in the context of unauthorized sharing of medical and mental health information, and breaches of confidentiality of information.

Table 3. Investigations in process 1O and 2O 2019 (as of March 31, 2019)

	Grand	Under	OIG	CRCL Ret	ained	Joint	CRCL Referred
	Total	Review ⁵	Retained	Full Investigation	Short Form	Investigation	CRCL Referred
By issue							
Abuse of authority/misuse of official position	31	11	3	1	13		3
Conditions of detention	60	29	2	11	14		4
Disability accommodation (Section 504 ⁶)	18	7			11		
Discrimination/profiling	44	16		3	20		5
Due process	113	23	7	46	34		3
Excessive force or inappropriate use of force	42	8	10	3	15		6
Fourth Amendment (search and seizure)	20	8	4		7		1
First Amendment (free speech/association)	1		1				
Human rights	7		1	4	2		
Inappropriate questioning/inspection conditions	13	2	1		9		1
Inappropriate touch/search of person (non-TSA)	10	2			8		
Intimidation/threat/improper coercion	7	3		1	2		1
Language access	7				6		
Legal access	8	5	1	2	1		
Medical/mental health care	189	70	14	24	79		2
Privacy	10	6			4		
Religious accommodation	5			1	2		2
Retaliation	5	1		1	2		1
Sexual assault/abuse	17	5	1	2	5		4
Total by issue	607	196	45	99	234	0	33

⁵ The data in this column cover investigations opened that have not yet been categorized in CRCL's database as Retained, Referred, Short Form, or Joint Investigations, or those which we are awaiting decision from the OIG on whether or not they will retain.

⁶ Section 504 of the Rehabilitation Act of 1973 forbids discrimination on the basis of an individual's disability by all federal agencies in all federally funded activities.

Table 3 continued, Investigations in process 1Q and 2Q 2019 (as of March 31, 2019)

	Grand	Under	OIG	CRCL Ret	ained	Joint	CDCI Defermed
	Total	Review	Retained	Full Investigation	Short Form	Investigation	CRCL Referred
By situation							
CBP detention/hold room/CBP deferred inspection site	23	11	1	4	6		1
DHS law enforcement activity	55	18	9	2	23		3
DHS-supported activity (not 287(g) ⁷)	13	1			11		1
Federal government building or area	15	3	4		7		1
ICE 287(g) program	1				1		
Immigration benefit application processing	11	2			9		
Immigration detention	299	111	12	47	110		19
Political demonstration/rally	2				2		
Port of entry/CBP checkpoint	132	42	17	24	42		7
Screening (non-watch list)	1				1		
Unaccompanied minor	53	7	2	22	21		1
Watchlist/aviation security	2	1			1		
Total by situation	607	196	45	99	234	0	33
By Component							
DHS (multi-Component or Headquarters unit)	45	17	2	14	10		2
СВР	199	53	23	40	73		10
CISA	11	2	1		7		1
FEMA	9	1			8		
ICE	327	119	19	44	125		20
TSA	4	2			2		
USCG	1			1			
USCIS	9	2			7		
USSS	2				2		
Total by Component	607	196	45	99	234	0	33

⁷ The 287(g) program, one of ICE's top partnership initiatives, allows a state and local enforcement entity to enter into a partnership with ICE, under a joint Memorandum of Agreement, in order to receive delegated authority for immigration enforcement within their jurisdictions.

Table 4. Investigations closed, 1Q and 2Q 2019 (as of March 31, 2019)

Total by issue	Comp	onent					
Subtotal by situation	CBP	CISA	DHS*	ICE	USCG	USCIS	Total
Abuse of authority/misuse of official position	3	1	1				7
DHS law enforcement activity			1				1
Federal government building or area		1					1
ICE 287(g) Program				1			1
Immigration detention				1			1
Port of entry/CBP checkpoint	3						3
Conditions of detention	3			10			13
Immigration detention				10			10
Port of entry/CBP checkpoint	1						1
Unaccompanied minor	2						2
Disability accommodation (Section 504)	7			4		15	26
DHS supported activity (not 287(g))				2		3	5
Federal government building or area	1						1
Immigration benefit application processing						12	12
Immigration detention				2			2
Port of entry/CBP checkpoint	6						6
Discrimination/profiling	5	1		3		3	12
DHS law enforcement activity	1						1
Federal government building or area		1					1
ICE 287(g) program				1			1
Immigration benefit application processing				1		3	4
Immigration detention				1			1
Port of entry/CBP checkpoint	4						4
Due process	10			7		2	19
DHS law enforcement activity				2			2
DHS supported activity (not 287(g))						1	1
Immigration detention				5		1	6
Port of entry/CBP checkpoint	5						5
Unaccompanied minor	5						5

^{*}Multi-Component or DHS Headquarters unit

Table 4 continued, Investigations closed 1Q and 2Q 2019 (as of March 31, 2019)

Total by issue	Compo	\					
Subtotal by situation	CBP	CISA	DHS*	ICE	USCG	USCIS	Total
Excessive force or inappropriate use of force	11			3			14
CBP detention or CBP deferred inspection	2						2
DHS law enforcement activity	1						1
Immigration detention				3			3
Port of entry/CBP checkpoint	5						5
Unaccompanied minor	3						3
Fourth Amendment (search and seizure)	3		1		1		5
DHS law enforcement activity			1		1		2
Unaccompanied minor	3						3
Human rights	1		2	1			4
DHS supported activity (not 287(g))			1				1
Immigration detention				1			1
Port of entry/CBP checkpoint	1						1
Unaccompanied minor			1				1
Inappropriate questioning/inspection conditions	1						1
Unaccompanied minor	1						1
Inappropriate touch/search of person (non-TSA)	2						2
Port of entry/CBP checkpoint	2						2
Intimidation/threat/improper coercion	5		1	1			7
Unaccompanied minor	5		1	1			7
Legal access				2			2
Immigration detention				2			2
Medical/mental health care	4		1	178			183
DHS law enforcement activity	1						1
Immigration detention			1	178			179
Port of entry/CBP checkpoint	2						2
Unaccompanied minor	1						1

^{*}Multi-Component or DHS Headquarters unit

Table 4 continued, Investigations closed 1Q and 2Q 2019 (as of March 31, 2019)

Total by issue	Compo	Component								
Subtotal by situation	CBP	CISA	DHS*	ICE	USCG	USCIS	Total			
Privacy	1					2	3			
Immigration benefit application processing						2	2			
Port of entry/CBP checkpoint	1						1			
Religious accommodation				2			3			
Immigration detention				2			2			
Sexual assault/abuse				2			2			
Immigration detention				1			1			
Port of entry/CBP checkpoint				1			1			
Grand Total	56	2	6	215	1	22	302			

^{*}Multi-Component or DHS Headquarters unit

IV. Appendix: Acronyms

CBP U.S. Customs and Border Protection

CISA Cybersecurity and Infrastructure Security Agency CRCL DHS Office for Civil Rights and Civil Liberties

DHS Department of Homeland Security

FEMA Federal Emergency Management Agency ICE U.S. Immigration and Customs Enforcement

OIG DHS Office of Inspector General PEP Priority Enforcement Program

ROI Report of Investigation

TSA Transportation Security Administration USCIS U.S. Citizenship and Immigration Services

USCG U.S. Coast Guard USSS U.S. Secret Service